

COMMISSION SCHEDULE

Please see Schedule of Miscellaneous Account and Service Fees as other charges may apply to your account. The below commission schedule applies to brokerage accounts, Advisory accounts have a separate schedule based on the advisory agreement.

Commission Schedule	Commission
Equity and Exchange Traded Funds	
Dollar Value	
\$0.00 - \$5,000	\$30 plus .009 of principal
\$5,000.01 - \$15,000.00	\$30 plus .007 of principal
\$15,000.01 - \$25,000.00	\$30 plus .006 of principal
\$25,000.01 - \$50,000.00	\$30 plus .004 of principal
\$50,000.01 +	\$30 plus .0033 of principal
Minimum:	Greater of \$35 or \$0.04 per share
Maximum:	\$0.44 per share on the first 100 shares, \$0.33 per share from 101-500 shares; \$.022 per share 501+
Mutual Funds and Unit Investment Trusts	
No Load Mutual Fund Purchases	\$45.00
Load Mutual Fund	Load Mutual Funds and Unit Investment Trusts (UITs): sales charges apply as described in the mutual fund's or UIT's respective prospectus. Please refer to the mutual fund's or UIT's respective prospectus for additional information
Unit Investment Trust Purchase	
Unit Investment Trust Redemption	\$35.00

Effective 8/1/2018

Securities and advisory services are offered through Santander Investment Services, a division of Santander Securities LLC. Santander Securities LLC is a registered broker-dealer, Member FINRA and SIPC and a Registered Investment Adviser. Insurance is offered through Santander Securities LLC or its affiliates.

NOT FDIC INSURED | NOT BANK GUARANTEED | MAY LOSE VALUE | NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY |
 NOT A BANK DEPOSIT

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