

Investment Services Fee Schedule

Effective 8/1/2018

Non-Retirement Account Annual Fee (1,3)	\$50.00
Commissions	
Equities and Exchange Traded Funds	
Dollar Value	
0 - \$5,000	\$30 plus .009 of principal
\$5,000.01 - \$15,000	\$30 plus .007 of principal
\$15,000.01 - \$25,000	\$30 plus .006 of principal
\$25,000.01 - \$50,000	\$30 plus .004 of principal
\$50,000.01 +	\$30 plus .0033 of principal
<u>Minimum</u> : Greater of \$35 or \$0.04 per share	
<u>Maximum</u> : \$0.44 per share on the first 100 shares, \$0.33 per share from 101-500 shares and \$0.22 per	
Mutual Funds and Unit Investments Trusts (2)	
No load Funds Purchases	\$45
Unit Investment Trust Redemptions (no fee for purchases)	\$35
Unit Investment Trust Purchase per Prospectus	per Prospectus

Fees	
Account Transfers	
Automatic Outgoing Account Transfer	\$100
Assets Movements Fee	
Outgoing Account Transfer Check	\$15
Checking Stop Payment	\$20
Returned Checks	\$30
Overnight Courier Fee	
Standard Delivery	\$15
Saturday Delivery	\$20
International Delivery	\$30
Foreign Receive and Deliver Fee - Transfers Only	
Euroclear	\$60
All other foreign depositories	\$90
Physical Safekeeping	
Foreign Securities (Per acct/per position/per mo.)	\$3
Domestic Securities (Per acct/per position/per mo.)	\$2.50
Wired Funds	
Wire Transfer Fee	\$25
Reorganization or tender fee	
Mandatory	\$35
Voluntary	\$40

Retirement Account Fees(maintenance fees waived for managed accounts)	
Traditional IRA/Roth IRA Maintenance Fee (1)	\$50
Traditional IRA/Roth IRA Termination Fee	\$100
Mutual Fund Only IRA or Roth IRA - Maintenance Fee (1)	\$50
Mutual Fund Only IRA or Roth IRA - Termination Fee	\$100
QRP and 403(b)(7) Maintenance Fee (1)	\$50
QRP and 403(b)(7) Termination Fee	\$100
Roth IRA Conversion Fee	\$25
1099-R Processing Fee for Omnibus/Pooled QRPs	\$10

Commissions, account service fees, and maintenance fees are subject to change without notice.

1 The fee is waived for Santander Select Customers.

2 Load mutual funds and Unit Investment Trusts (UITs): sales charges apply as described in the mutual fund's or UIT's respective prospectus. Please refer to the mutual fund's or UIT's respective prospectus for additional information.

3 Account fee is defined as a fee charged to a non-retirement account that is *not* a Managed account, an account holding an annuity only, and accounts with an Investments balance of \$50,000 AUM and above are excluded.

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NOT FDIC INSURED	NOT BANK GUARANTEED	MAY LOSE VALUE
NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY		NOT A BANK DEPOSIT



INVESTMENT SERVICES
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